

June 2013 SAFFORD COMPLEX

Intake (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Is a physical examination completed by a Medical Provider by day two of an inmate's arrival at the facility? (Alhambra, Eyman D/R, Perryville, Tucson Minors only)[P-E-04, DO 1104, HSTM, Chapter 5, Sec. 2.0, 2.1]	X			6/18/2013 8:34 AM Entered By: Troy Evans N/A	2
2	Is a mental health assessment completed by a Mental Health Practitioner by day two of an inmate's arrival at the facility? (Alhambra, Eyman D/R, Perryville, Tucson Minors only)[P-E-04,P-E-05, DO 1104, HSTM, Chapter 5, Sec. 2.0, 2.1]	X			6/18/2013 8:34 AM Entered By: Troy Evans N/A	2

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Sick Call (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Is sick call being conducted five days a week Monday through Friday (excluding holidays)? [P-E-07, DO 1101, HSTM Chapter 5, Sec. 2.04.2, Chapter 7, Sec. 7.6]	X			<p>6/25/2013 3:07 PM Entered By: Troy Evans</p> <p>6/19/2013 12:44 PM Entered By: Troy Evans Ft. Grant-Reviewing the Nurses line scheduling book, inmates are scheduled Monday thru Friday, and often there are an evening line at Ft. Grant to accommodate the Tomato Crew Inmate Workers. Tonto- Nurses line is available Monday Thru Friday.</p>	1
2	Are sick call inmates being triaged within 24 hours(or immediately if inmate is identified with emergent medical needs)? [P-E-07, DO 1101, HSTM Chapter 5, Sec. 3.1]	X			<p>6/28/2013 3:37 PM Entered By: Troy Evans</p> <p>6/25/2013 3:22 PM Entered By: Troy Evans #inmate HNR Received 06/21/13 seen 06/21/13 #inmate HNR Received 06/21/13 seen 06/21/13 #inmate " " 06/21/13 seen 06/24/13 First available Line #inmate HNR Received 05/29/13 seen 05/31/13 #inmate HNR Received 05/31/13 seen 06/04/13 Provider Line</p> <p>6/25/2013 3:15 PM Entered By: Troy Evans Review of the Nurse and provider line schedules, as well as the HNR Logs provided that in most cases inmates are seen within 24 hrs, and immediately if an emergent condition exists. Inmate #inmat HNR Received 06/03/13 Seen 06/05/13 #inmate " 06/13/13 Seen 06/05/13 #inmat " " 06/13/13 Seen 06/14/13 #inmate 06/21/13 Seen 06/22/13 #inmate 06/21/13 Seen 06/21/13</p>	1
3	Are vitals signs, to include weight, being checked and documented each time an inmate is seen during sick call? [P-E-04, HSTM Chapter 5, Section 1.3]	X			<p>6/25/2013 3:26 PM Entered By: Troy Evans</p> <p>6/25/2013 3:26 PM Entered By: Troy Evans Tonto-The bank of charts from sick call at Tonto all had a full set of vitals. #inmate #inmate #inmate #inmate #inmat #inmate #inmate #inmate #inmate</p> <p>6/19/2013 1:35 PM Entered By: Troy Evans The bank of Inmate Charts checked represented a full set of vitals including</p>	1

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				weight. Ft. Grant Inmate #inmate Inmate #inmat Inmate #inmate Inmate #inmate Inmate #inmate Inmate #inmate Inmate #inmate Inmate #inmate Inmate #inmate Inmate #inmate	
4	Is the SOAPE format being utilized in the inmate medical record for encounters? [DO 1104, HSTM Chapter 5, Section 1.3]	X		6/28/2013 3:37 PM Entered By: Troy Evans 6/25/2013 3:30 PM Entered By: Troy Evans Tonto-all of the charts pulled except for 1 were consistent with either a NET, or complete SOAPE Format. #inmate #inmate #inmate #inmate #inmat #inmate #inmate #inmate No SOAPE Format by Provider Entry #inmate 6/19/2013 1:39 PM Entered By: Troy Evans Ft. Grant-All charts reviewed demonstrated the SOAPE format. Inmate #inmate Inmate #inmat Inmate #inmate Inmate #inmate Inmate #inmate Inmate #inmate Inmate #inmate Inmate #inmate Inmate #inmate Inmate #inmate	1
5	Are referrals to providers from sick call being seen within seven (7) days? [P-E-07]	X		6/19/2013 1:40 PM Entered By: Troy Evans n/a	1
6	Are nursing protocols in place and utilized by the nurses for sick call?	X		6/28/2013 3:38 PM Entered By: Troy Evans 6/25/2013 3:34 PM Entered By: Troy Evans Nurses at both facilities are using NETS consistently thru the charts. Tonto- #inmate #inmate #inmate #inmate #inmate #inmat #inmate #inmate #inmate	1

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					<p>6/19/2013 1:43 PM Entered By: Troy Evans Ft. Grant Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED] Inmate # [REDACTED]</p>	
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Medical Specialty Consultations (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Are urgent consultations being scheduled to be seen within thirty (30) days of the consultation being initiated? [CC 2.20.2.3]	X			<p>6/24/2013 9:15 AM Entered By: Troy Evans</p> <p>6/19/2013 1:51 PM Entered By: Troy Evans #inmate -Request 05/28/13 Appt. 06/14/13</p> <p>6/5/2013 10:38 AM Entered By: Troy Evans Tonto/Graham-#inmate Request 03/04/13 Appt.03/28/13 #inmate -Request 05/01/13 Appt.Still pending approval from contractor. #inmate -Request 05/20/13 Appt.06/06/13 #inmate -Request 05/20/13 Appt.06/04/13 #inmate -Request 03/09/13 Appt.06/11/13 Inmate arrived from another yard with a pending Ophthalmology Referral. Perhaps this inmate should have had a medical hold placed on him at the previous yard.He arrived in Tonto on 05/15/13.</p> <p>6/5/2013 10:27 AM Entered By: Troy Evans While the time frame for inmate #inmate is not within the thirty day time frame. It was to no fault of the contractor. Urology has been a difficult specialty to keep consistency with, and this inmate has had multiple reschedules, as well as evaluations which do not represent obvious neglect to the specialty needs of the inmate.</p> <p>6/5/2013 10:24 AM Entered By: Troy Evans FT. Grant-#inmate App. Request 03/26/13 Appt. 05/29/13 Urology #inmate -Request 04/23/13 Appt. 05/31/13 #inmate -Request 04/23/13 Appt. 05/17/13 #inmate -Request 04/25/13 Appt. 05/09/13 #inmate -Request 05/03/13 Appt. 05/28/13 #inmate -Request 04/19/13 Appt. 05/13/13 #inmate -Request 05/06/13 Appt. 05/20/13 #inmate -Request 04/02/13 Appt. 05/02/13 #inmate -Request 05/23/13 Appt. 06/06/13</p> <p>6/28/2013 3:45 PM Entered By: Kathy Campbell Ft. Grant- Green</p>	2
2	Are consultation reports being reviewed by the provider within seven (7) days of receipt? [CC 2.20.2.3]	X			<p>6/25/2013 3:53 PM Entered By: Troy Evans</p> <p>6/25/2013 3:52 PM Entered By: Troy Evans Tonto- #inmate -Report received on 04/24/13 Read 05/01/13 #inmate -Report received on 06/06/13 Read 06/06/13 #inmate -Report received on 06/04/13 Read 06/05/13 #inmate -Report received on 06/10/13 Read 06/10/13 #inmate -Report received on 05/08/13 Read 05/09/13 #inmate -Report received on 05/28/13 Read 05/29/13 #inmate -Report received on 05/07/13 Read 05/13/13 #inmate -Report received on 06/25/13 Read</p>	2

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		X			<p>06/26/13 #inmate--Report received on 06/21/13 Read 06/26/13</p> <p>6/19/2013 2:02 PM Entered By: Troy Evans Ft. Grant- #inmate--Report received on 05/29/13 Read 06/04/13 #inmate--Report received on 06/04/13 Read 06/04/13 #inmate--Report received on 05/17/13 Read 05/24/13 #inmate--Report received on 05/10/13 Read 05/10/13 #inmate--Report received on 05/28/13 Read 05/29/13 #inmate--Report received on 05/20/13 Read 05/21/13 #inmate--Report received on 05/20/13 Read 05/21/13 #inmate--Report received on 06/06/13 Read 06/11/13 #inmate--Report received on 06/14/13 Read 06/18/13</p> <p>6/28/2013 3:46 PM Entered By: Kathy Campbell Ft. Grant- 10/10 charts reviewed in compliance.</p>	
3	Is the utilization and availability of off-site services appropriate to meet medical, dental and mental health needs? [CC 2.20.2.3]	X			<p>6/19/2013 2:03 PM Entered By: Troy Evans Yes</p> <p>6/5/2013 10:40 AM Entered By: Troy Evans Tonto/ Graham / Ft grant all have current ORC providers for all specialties.</p> <p>6/28/2013 3:47 PM Entered By: Kathy Campbell Ft. Grant- Green</p>	3
4	Are the emergent medical needs of the inmates appropriate and emergent transports ordered in a timely manner? [P-E-08, CC 2.20.2.3]	X			<p>6/19/2013 2:03 PM Entered By: Troy Evans Yes</p> <p>6/28/2013 3:47 PM Entered By: Kathy Campbell Ft. Grant- Green</p>	2
5	Do all inpatient admissions have documented utilization review of admission and evidence of discharge planning? [CC 2.20.2.3]	X			<p>6/19/2013 2:04 PM Entered By: Troy Evans n/a</p> <p>6/28/2013 3:47 PM Entered By: Kathy Campbell Ft. Grant- Green. N/A. No admissions in June.</p>	2

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Chronic Condition and Disease Management (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Are treatment plans developed and documented in the medical record by a provider within thirty (30) days of identification that the inmate has a CC? [P-G-01, CC 2.20.2.4]	X			<p>6/28/2013 3:55 PM Entered By: Troy Evans</p> <p>6/25/2013 3:58 PM Entered By: Troy Evans Tonto-also reviewed were charts #inmate#inmate Most treatment plans were developed upon entry into the Pinal System.</p> <p>6/24/2013 9:29 AM Entered By: Troy Evans Tonto-In reviewing charts #inmate #inmate #inmate #inmate #inmate #inmate #inmate#inmate #inmat Chronic Care Identification, as well as scheduling, is well documented.</p>	1
2	Are CC inmates being seen by the provider (every three (3) to six (6) months) as specified in the inmate's treatment plan? [P-G-01, DO 1101, HSTM Chpt. 5, Sec. 5.1, CC 2.20.2.4]	X			<p>6/28/2013 3:45 PM Entered By: Troy Evans 1 out of 5 charts out of compliance in Tonto. #inmate had not been seen for CC as of 06/18/13. 10 out of 10 Charts reviewed in Ft. Grant were compliant.</p> <p>6/26/2013 10:44 AM Entered By: Troy Evans Tonto- #inmate CC Hep C Last seen 12/20/12 scheduled 07/13 #inmat CC Htn. Last seen 06/11/13 Prior 12/06/12 #inmate CC Htn. Last seen 06/06/13 Prior 03/13 #inmate CC Hep C Last seen 06/11/13 Prior 12/18/12 ##inmat CC Htn Last seen 06/10/13 Prior 12/24/12</p> <p>6/25/2013 4:05 PM Entered By: Troy Evans Tonto- #inmate CC HTN last seen 05/28/13 12/05/12 #inmate CC Asthma 02/15/13 Scheduled 08/13/13 #inmate CC HTN 06/03/13 12/06/12 #inmate CC Asthma 06/03/13 12/10/12 #inmate CC Asthma 05/08/13 11/06/12</p>	2
3	Are CC/DM inmates being provided coaching and education about their condition / disease and is it documented in the medical record? [P-G-01, CC 2.20.2.4]	X			<p>6/28/2013 3:48 PM Entered By: Troy Evans Innmate #inmate had no Education provided in CC Visit Form. Also there was No Provider name or signature or date.</p> <p>6/24/2013 9:44 AM Entered By: Troy Evans Tonto- #inmate Health Education Forms given, Education Log Signed by Inmate. #inmate Inmate had not been given any education. Inmate was cheduled for a 60 day follow up from Alhambra on 02/15/13. Inmate was not seen as a Chronic Care Pt. Rx renewal for A buterol written on 05/02/13, no CC performed.#inmate CC Education Log Signed.#inmate CC Education Log Signed. #inmate Education noted on CC Form from CC on 05/08/13.</p>	1

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				#inmate Education Noted on CC Form. #inmate Education Noted on last CC Form. #inmat Education Log filled out from CC on 06/10/13	
4	Have disease management guidelines been developed and implemented for Chronic Disease or other conditions not classified as CC? [P-G-01, HSTM Chpt. 5, Sec. 5.1, CC 2.20.2.4]	X		6/28/2013 3:50 PM Entered By: Troy Evans CC Inmates have been seen in accordance with guidelines.	2
5	Has the contractor submitted his/her quarterly guideline audit results by the 15th day following the end of the reporting quarter? [CC 2.20.2.4]	X		6/28/2013 3:35 PM Entered By: Kathy Campbell	2

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Prescribing Practices and Pharmacy (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Are recommendations made by the Pharmacy and Therapeutics Committee appropriately enacted? [CC 2.20.2.6]	X			6/26/2013 12:56 PM Entered By: Martin Winland	2
2	Are pharmacy polices, procedures forms, (including non-formulary requests) being followed? [NCCHC Standard P-D-01, CC 2.20.2.6]	X			6/26/2013 12:56 PM Entered By: Martin Winland	2
3	Are all medications being prescribed in the therapeutic ranges as determined by the most current editions of the "Drug Facts and Comparisons" or the packet insert?	X			6/26/2013 12:56 PM Entered By: Martin Winland	1

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Grievances (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Are grievances being responded to within fifteen (15) working days of receipt per Department Order 802? [P-A-11, DO 802, HSTM Chpt. 1, Sec. 8.0, CC 2.20.2.8]	X			6/17/2013 10:06 AM Entered By: Troy Evans Ft. Grant-No pending grievances. Graham- Grievance S01-006-13 dated 05/24/13. Inmate was called into Health Unit by FHA upon receipt of grievance. Tonto-No pending grievances	2

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Mental Health (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Are HNRs for Mental Health services triaged within 24 hours of receipt by a qualified Mental Health Professional, to include nursing staff? [CC 2.20.2.10]	X			<p>6/25/2013 10:42 AM Entered By: Troy Evans Medical received an HNR from an inmate in MDU on Friday 06/21/13. Mental Health was notified on Friday and was seen by Mental Health on Monday 06/24/13.</p> <p>6/24/2013 10:01 AM Entered By: Troy Evans Per the Corizon Mental Health Provider, there were no Mental Health HNR's for June.</p>	2
2	Are inmates referred to a Psychiatrist or Psychiatric Mid-level Provider seen within seven (7) days of referral? [CC 2.20.2.10]	X			<p>6/25/2013 8:50 AM Entered By: Troy Evans If there is a genuine need and the inmate is stable enough, then the Mental Health Provider will usually schedule the inmate for the next telepsych (telemed) opening. They normally have appts once a month so the wait is never more than that for a non-urgent referral.</p> <p>If the inmate is not stable and needs a more urgent referral - Mental Health will staff with a psychologist in Tucson and request to have the inmate moved to facilitate them being seen sooner or move them on a MH watch or suicide watch if needed.</p> <p>Sometimes the provider does not see a genuine need for the referral and will start by explaining that to the inmate. If they insist on seeing a psychiatrist even though he feels it is not needed, then he will schedule them for the next telemed and let the psychiatrist deal with them.</p>	2
3	Are MH treatment plans updated every 90 days for each SMI inmate, and at least every 12 months for all other MH-3 and above inmates? [CC 2.20.2.10]	X			<p>6/19/2013 2:54 PM Entered By: Troy Evans We do not have SMI or MH-3 in our complex.</p>	1
4	Are inmates with a mental score of MH-3 and above seen by MH staff according to policy? [CC 2.20.2.10]	X			<p>6/25/2013 10:38 AM Entered By: Troy Evans Example; Inmate #inmate arrived at Tonto on 06/24/13 with an MH Score of 3. He was seen by the Mental Health Provider upon arrival. His MH-3 score was verified, and notification was made that he would need to be moved off Tonto. On 06/25/13 inmate was moved to C41.</p> <p>6/24/2013 10:07 AM Entered By: Troy Evans Upon finding an MH-3 designation in our complex, MH would review the chart and meet with the inmate to be sure the MH-3 score is correct. sometimes the MH scores are entered incorrectly. If the inmate is in fact meeting criteria for the MH-3 score then MH would request that the inmate be moved to a corridor facility. While waiting for the movement to happen, MH would ensure that the inmate is receiving any needed follow-up or other intervention as</p>	2

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		X			needed.	2
5	Are inmates prescribed psychotropic meds seen by a Psychiatrist or Psychiatric Mid-level Provider at a minimum of every three (3) months (90 days)?[CC 2.20.2.10]	X			6/24/2013 10:09 AM Entered By: Troy Evans There are no Inmates currently on any psychotropic meds for Mental Health Intervention.	2
6	Are reentry/discharge plans established no later than 30 days prior release for all inmates with a MH score of MH-3 and above? [CC 2.20.2.10]	X			6/19/2013 3:13 PM Entered By: Troy Evans This population is not in our complex.	2

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Administrative Meetings and Reports						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Is the Site Manager (or designee in the absence of the Site Manager) attending weekly warden executive staff meetings? [NCCHC Standard P-A-04; DO 117]	X			6/17/2013 10:19 AM Entered By: Troy Evans FHA attends the Wardens Meeting every Tuesday. After the initial Meeting there is a Specific Corizon time to discuss any thing Corizon specific.	1
2	Is the Site Manager conducting monthly meetings with Warden and unit Deputy Wardens and include: -responsibilities of health staff -procedures for triage -predetermination of site for care -telephone #s & procedures for calling health staff & the community emergency response system -procedures for evacuating patients -alternate back-ups for each plan element? [DO 117]	X			6/25/2013 10:58 AM Entered By: Troy Evans Discussed this with FHA. We have a Tuesday meeting with all Deputy Wardens and Warden. Corizon has a Phone notification system in place with numbers as well as order to call. A disaster drill is pending.	1
3	Are monthly staff meetings being conducted and documented? [NCCHC Standard P-A-04]	X			6/25/2013 11:41 AM Entered By: Troy Evans Monthly Staff Meetings are Being Conducted at both Ft. Grant and Tonto Facilities. Agendas as well as sign in sheets were provided.	1
4	Are monthly reports identified in Exhibit 2 of the health services contract being submitted in accordance with the contract?	X			6/25/2013 11:39 AM Entered By: Troy Evans Monthly CAG and Dump Reports.	2

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Medication Administration						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Is there a formal medication administration program? [NCCHC Standard P-C-05]	X			6/25/2013 11:50 AM Entered By: Troy Evans 6/25/2013 11:49 AM Entered By: Troy Evans Yes. All nursing staff are required to complete NEO #2. This is Medication Administration and Documentation. This self paced class is done in conjunction with NEO #1.	1
2	Is the documentation of completed training and testing kept on file for staff who administer or deliver medications? [NCCHC Standard P-C-05; HSTM Chapter 3, Section 4.1]	X			6/25/2013 11:51 AM Entered By: Troy Evans Yes. I reviewed some that were available.	1
3	Is there a tracking system for KOP medications to determine if medications have been received by the inmate? [NCCHC Standard P-D-01]	X			6/25/2013 11:51 AM Entered By: Troy Evans 6/19/2013 2:10 PM Entered By: Troy Evans Tonto- Inmate Mars are initialed by the inmate when they pick up their KOP meds in the Health Unit. 6/19/2013 2:06 PM Entered By: Troy Evans Ft. Grant- Inmate MARs are initialed by the inmate when he picks his KOP meds up at the Health Unit.	1
4	Are the Medication Administration Records (MAR) being completed in accordance with standard nursing practices? [HSTM Chapter 4, Section 1.1, Chapter 5, Section 6.4]	X			6/25/2013 12:00 PM Entered By: Troy Evans Both Tonto and Ft. Grant MARs are in accordance with accepted nursing practices.	1
5	Are medication errors forwarded to the FHA to review corrective action plan?	X			6/28/2013 4:01 PM Entered By: Troy Evans Discussed form with FHA. No errors have occurred.	2
6	Are there any unreasonable delays in inmate receiving prescribed medications?		X		6/28/2013 4:00 PM Entered By: Troy Evans 6/25/2013 12:10 PM Entered By: Troy Evans Inmate # [redacted] had an initial request for Neurontin submitted on 05/20/13. It was rescanned by Corizon Staff on 05/29/13. I couldn't find the approval date, but in the Mar the first dose given was May 31st. More examples were not available, but in discussing Non-Formulary with Management, she explains that it usually takes about 7 days for Non-Formulary Requests to be answered.	2
7	Are inmates being required to show ID prior to	X			6/25/2013 12:03 PM Entered By: Troy Evans	2

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	being administered their medications?				Inmates are required to show their Picture Id when picking up DOT Meds as well as KOP Meds.	
8	Are chronic condition medication expiration dates being reviewed prior to expiration to ensure continuity of care? [NCCHC Standard P-D-01]	X			6/25/2013 12:05 PM Entered By: Troy Evans Expiration Reports are reviewed Bi-Monthly to ensure that Inmates are scheduled prior to their Meds expiring.	2
9	Are non-formulary requests being reviewed for approval or disapproval within 24 to 48 hours?		X		6/28/2013 3:59 PM Entered By: Troy Evans Difficult to track Non- Formulary approvals or denials. 6/25/2013 12:10 PM Entered By: Troy Evans Inmate #inmate had an initial request for Neurontin submitted on 05/20/13. It was rescanned by Corizon Staff on 05/29/13. I couldn't find the approval date, but in the Mar the first dose given was May 31st. More examples were not available, but in discussing Non-Formulary with Management, she explains that it usually takes about 7 days for Non-Formulary Requests to be answered.	2
10	Are providers being notified of non-formulary decisions within 24 to 48 hours?		X		6/28/2013 4:03 PM Entered By: Troy Evans In tracking Non-Formulary requests, this parameter was not exhibited. 6/25/2013 12:11 PM Entered By: Troy Evans No	2
11	Are medication error reports being completed and medication errors documented?	X			6/26/2013 10:54 AM Entered By: Troy Evans There is a Sentinel Event Notification Form, as well as a Medication Error Review Form that is filled out in the event of a Medication Error. There has not been a medication error at Corizon Safford or Ft. Grant Health Units.	2

Corrective Action Plans for Performance Measure: Medication Administration

6 Are there any unreasonable delays in inmate receiving prescribed medications?

Level 2 Amber User: Troy Evans Date: 6/28/2013 4:00:22 PM

Corrective Plan: Non Formulary approvals have been a problem at the management level. They have been scanned same day at the units but approvals are not returning within the designated time frame. Corizon management is developing a plan to have this done within the 48 hour time frame. Will reassess on August 31, 2013.

August 5, 2013
Ann Rochelle Mullen, RN FHA
Safford Complex

Corrective Actions: Approved per T. Evans.

9 Are non-formulary requests being reviewed for approval or disapproval within 24 to 48 hours?

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Level 2 Amber User: Troy Evans Date: 6/28/2013 3:59:21 PM

Corrective Plan: Non Formulary approvals have been a problem at the management level. They have been scanned same day at the units but approvals are not returning within the designated time frame. Corizon management is developing a plan to have this done within the 48 hour time frame. Will reassess on August 31, 2013.

August 5, 2013
Ann Rochelle Mullen, RN FHA
Safford Complex

Corrective Actions: Duplicate

10 Are providers being notified of non-formulary decisions within 24 to 48 hours?

Level 2 Amber User: Troy Evans Date: 6/28/2013 4:03:31 PM

Corrective Plan: Non Formulary approvals have been a problem at the management level. They have been scanned same day at the units but approvals are not returning within the designated time frame. Corizon management is developing a plan to have this done within the 48 hour time frame. Will reassess on August 31, 2013.

August 5, 2013
Ann Rochelle Mullen, RN FHA
Safford Complex

Corrective Actions: Approved per T. Evans

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Staffing						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Is there an approved staffing pattern available to the Site Manager (FHA)? [NCCHC Standard P-C-07; HSTM Chapter 3, Section 2.0]	X			6/18/2013 8:16 AM Entered By: Troy Evans FHA 1 FTE DON 1 FTE RN Supervisor 2 FTE RN's 9.4 FTE LPN's 1 FTE NP/PA 1 FTE Medical Director 1 FTE A.A./Sec. 1 FTE Medical Records Supervisor 1 FTE Medical Records/Sec. 1 FTE PCT 4.4 FTE Psych. Assoc. 1 FTE Dentist 1 FTE Dental Assit. 2 FTE Dental Hygienist .2 X-Ray Tech .50 This is the example of the SCD.	1
2	Are the adequacy and effectiveness of the staffing assessed by the facility's sufficient to meet the needs of the inmate population? [NCCHC Standard P-C-07; HSMT Chapter 3, Section 2.0]	X			6/18/2013 8:23 AM Entered By: Troy Evans Ft. Grant and Tonto Health Units both continue to evolve with their staffing. Currently PRN Staff are being utilized in larger quantities to ensure a larger pool of available staff. Two recent terminations have left Ft. Grant a little short handed, however I discussed this with the FHA at great length, and all shifts have been appropriately covered. Two additional PRN Nurses are currently pending with their formal background clearance.	3
3	Are all positions filled per contractor staffing pattern?	X			6/18/2013 8:28 AM Entered By: Troy Evans There is a .25 Medical Director slot that will not be utilized. There is also a .4 PCT slot. The FHA is currently trying to utilize both of these available positions in exchange for an additional Registered Nurse. This would bring the Registered Nurse availability up to 10.4.	2
4	Is the Site Manager (FHA) kept informed of recruiting efforts being taken to fill vacant positions by the corporate office?	X			6/18/2013 8:32 AM Entered By: Troy Evans There is currently a large influx of local interest in employment with Corizon. In addition, there was a recent transfer from Florence to Safford that was arranged by Corizon.	2

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Infirmiry Care						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Does policy or post order define the specific scope of medical, psychiatric, and nursing care provided in the infirmiry setting?	X			6/11/2013 10:51 AM Entered By: Kathy Campbell N/A. No Infirmiry at this location. 6/19/2013 3:19 PM Entered By: Troy Evans N/A No infirmiry at this location.	1
2	Are patients always within sight or hearing of a qualified health care professional (do inmates have a method of calling the nurse?)	X			6/11/2013 10:51 AM Entered By: Kathy Campbell N/A. No Infirmiry at this location. 6/19/2013 3:20 PM Entered By: Troy Evans 6/19/2013 3:20 PM Entered By: Troy Evans N/A No infirmiry at this location.	1
3	Is the number of appropriate and sufficient qualified health professionals in the infirmiry determined by the number of patients, severity of illnesses and level of care required?	X			6/11/2013 10:52 AM Entered By: Kathy Campbell N/A. No Infirmiry at this location. 6/19/2013 3:21 PM Entered By: Troy Evans 6/19/2013 3:21 PM Entered By: Troy Evans N/A Not an infirmiry at this location.	1
4	Is a supervising registered nurse in the IPC 24 hours a day?	X			6/11/2013 10:52 AM Entered By: Kathy Campbell N/A. No Infirmiry at this location. 6/19/2013 3:22 PM Entered By: Troy Evans N/A Not an infirmiry at this location.	1
5	Is the manual of nursing care procedures consistent with the state's nurse practice act and licensing requirements?	X			6/11/2013 10:52 AM Entered By: Kathy Campbell N/A. No Infirmiry at this location. 6/19/2013 3:22 PM Entered By: Troy Evans N/A Not an infirmiry at this location.	1
6	Does admission to or discharge from infirmiry care occur only on the order of physician or other provider where permitted by virtue of credentials and scope of practice?	X			6/11/2013 10:52 AM Entered By: Kathy Campbell N/A. No Infirmiry at this location. 6/19/2013 3:23 PM Entered By: Troy Evans N/A not an infirmiry at this location.	1

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7	Is the frequency of physician and nursing rounds in the infirmary specified based on categories of care provided?	X			<p>6/11/2013 10:52 AM Entered By: Kathy Campbell N/A. No Infirmary at this location.</p> <p>6/19/2013 3:23 PM Entered By: Troy Evans N/A Not an infirmary at this location.</p>	1
8	<p>Is a complete inmate health record kept and include:</p> <ul style="list-style-type: none"> -Admitted order (admitting diagnosis, medications, diet, activity restrictions, required diagnostic tests, frequency of monitoring and follow-up -Complete document of care and treatment given -Medication administration record -Discharge plan and discharge notes 	X			<p>6/11/2013 10:52 AM Entered By: Kathy Campbell N/A. No Infirmary at this location.</p> <p>6/19/2013 3:24 PM Entered By: Troy Evans N/A Not an infirmary at this location.</p>	1
9	If inpatient record is different than outpatient record, is a copy of the discharge summary from the infirmary care placed in the patient's outpatient chart?	X			<p>6/11/2013 10:52 AM Entered By: Kathy Campbell N/A. No Infirmary at this location.</p> <p>6/19/2013 3:25 PM Entered By: Troy Evans N/A Not an infirmary at this location.</p>	1
10	If an observation patient is placed by a qualified health care professional for longer than 24 hours, is this order being done only by a physician?	X			<p>6/11/2013 10:52 AM Entered By: Kathy Campbell N/A. No Infirmary at this location.</p> <p>6/19/2013 3:26 PM Entered By: Troy Evans N/A Not an infirmary at this location.</p>	1
11	Are vital signs done daily when required?	X			<p>6/11/2013 10:52 AM Entered By: Kathy Campbell N/A. No Infirmary at this location.</p> <p>6/19/2013 3:26 PM Entered By: Troy Evans N/A Not an Infirmary at this location.</p>	1
12	Are there nursing care plans that are reviewed weekly and are signed and dated?	X			<p>6/11/2013 10:52 AM Entered By: Kathy Campbell N/A. No Infirmary at this location.</p> <p>6/19/2013 3:27 PM Entered By: Troy Evans N/A Not an Infirmary at this location.</p>	1
13	Are medications and supplies checked regularly, and who is assigned to do it? [NCCHC Standard P-D-03]	X			<p>6/11/2013 10:52 AM Entered By: Kathy Campbell N/A. No Infirmary at this location.</p> <p>6/19/2013 3:28 PM Entered By: Troy Evans</p>	1

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