

August 2013 SAFFORD COMPLEX

Sick Call (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Is sick call being conducted five days a week Monday through Friday (excluding holidays)? P-E-07, DO 1101, HSTM Chapter 5, Sec. 2.04.2, Chapter 7, Sec. 7.6]	X			8/16/2013 11:16 AM Entered By: Troy Evans Tonto- Green, Sick Call is conducted five days a week. Ft. Grant- Green, Sick Call was conducted five days a week. Inmates were also scheduled for Nurse's Line on the weekend.	1
2	Are sick call inmates being triaged within 24 hours(or immediately if inmate is identified with emergent medical needs)? [P-E-07, DO 1101, HSTM Chapter 5, Sec. 3.1]		X		8/27/2013 10:32 AM Entered By: Troy Evans Tonto- Amber 8/16/2013 11:26 AM Entered By: Troy Evans Ft. Grant- 10 Charts were reviewed at Ft. Grant. #Inmate #Inmate #Inmate #Inmate #Inmate #Inmate #Inmate #Inmate #Inmate #Inmate #Inmate #Inmate All were compliant. Tonto- 15 Charts were reviewed at Tonto. #Inmate HNR was triaged on 08/10, not seen until 08/13 #Inmate HNR was triaged on 08/12, not seen until 08/15. #Inmate HNR was triaged on 08/02, not seen until 08/06.	1
3	Are vitals signs, to include weight, being checked and documented each time an inmate is seen during sick call? [P-E-04, HSTM Chapter 5, Section 1.3]	X			8/16/2013 11:28 AM Entered By: Troy Evans Ft. Grant- 10 Charts were reviewed. #Inmate was not compliant with this measure. Tonto- 15 Charts reviewed all were compliant.	1
4	Is the SOAPE format being utilized in the inmate medical record for encounters? [DO 1104, HSTM Chapter 5, Section 1.3]	X			8/20/2013 12:31 PM Entered By: Troy Evans 8/16/2013 11:34 AM Entered By: Troy Evans Ft. Grant- 10 Charts reviewed. All were compliant. Tonto- 15 charts reviewed. #Inmate provider did not use SOAPE.	1
5	Are referrals to providers from sick call being seen within seven (7) days? [P-E-07]	X			8/20/2013 12:31 PM Entered By: Troy Evans 8/16/2013 11:38 AM Entered By: Troy Evans FT. Grant- 10 charts were reviewed. 3 had been referred to Provider and were seen within the parameter. Compliant. Tonto- 15 Charts were reviewed. Only 1, #Inmate was referred to the provider. He was seen within the parameter. Compliant,	1

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6	Are nursing protocols in place and utilized by the nurses for sick call?	X			<p>8/20/2013 12:31 PM Entered By: Troy Evans</p> <p>8/16/2013 11:41 AM Entered By: Troy Evans Ft. Grant- 10 Charts were reviewed. #Inmate did not utilize a NET.</p> <p>Tonto- 15 Charts reviewed. #Inmate did not utilize a NET.</p>	1
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Corrective Action Plans for Performance Measure: Sick Call (Q)

2 Are sick call inmates being triaged within 24 hours (or immediately if inmate is identified with emergent medical needs)? [P-E-07, DO 1101, HSTM Chapter 5, Sec. 3.1]

Level 1 Amber User: Troy Evans Date: 8/27/2013 10:32:08 AM

Corrective Plan: Chart #Inmate was triaged on 8/10/2013, Friday evening, was scheduled on Tues. 8/13 for non-emergent issue. #Inmate was triaged on 8/12 was seen on 8/15 for back pain. All charts reviewed. Will advise all nursing staff that HNR's must be seen within 24 hours, with the exception of weekends. If the sick call HNR is urgent they must be seen immediately. This will be advised in a read and sign memo and on the agenda for the next staff meeting.

Ann Rochelle Mullen, RN FHA
 Safford Complex Arizona
 8/17/2013

Corrective Actions: Approved per Troy Evans.

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Chronic Condition and Disease Management (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Are treatment plans developed and documented in the medical record by a provider within thirty (30) days of identification that the inmate has a CC? [P-G-01, CC 2.20.2.4]	X			8/26/2013 12:05 PM Entered By: Brenda McMullen Safford 10 charts reviewed 1 chart out of compliance #Inmate cc due 6/13 not done Ft. Grant 10 charts reviewed 1 chart out of compliance #Inmate No RTC ordered	1
2	Are CC inmates being seen by the provider (every three (3) to six (6) months) as specified in the inmate's treatment plan? [P-G-01, DO 1101, HSTM Chpt. 5, Sec. 5.1, CC 2.20.2.4]	X			8/26/2013 12:06 PM Entered By: Brenda McMullen Safford 10 charts reviewed 1 chart out of compliance #Inmate CC due 6/13 not done Ft. Grant 10 charts reviewed 1 chart out of compliance #Inmate last cc 5/22/13 no RTC ordered.	2
3	Are CC/DM inmates being provided coaching and education about their condition / disease and is it documented in the medical record? [P-G-01, CC 2.20.2.4]	X			8/26/2013 12:08 PM Entered By: Brenda McMullen Safford 10 charts reviewed 1 charts out of compliance #Inmate cc due 6/13 not done Ft. Grant 10 charts reviewed 1 chart out of compliance #Inmate no RTC ordered	1
4	Have disease management guidelines been developed and implemented for Chronic Disease or other conditions not classified as CC? [P-G-01, HSTM Chpt. 5, Sec. 5.1, CC 2.20.2.4]		X		8/26/2013 12:13 PM Entered By: Brenda McMullen Safford 10 charts reviewed 8charts out of compliance #Inmate no PEFR #Inmate no PEFR #Inmate no PEFR #Inmate needs labs #Inmate labs ordered not done #Inmate no PEFR #Inmate no PEFR #Inmate no PEFR Ft. Grant 10 charts reviewed 4charts out of compliance #Inmate no PEFR #Inmate no gabapentin level #Inmate no PEFR #Inmate no PEFR	2
5	Has the contractor submitted his/her quarterly guideline audit results by the 15th day following the end of the reporting quarter? [CC 2.20.2.4]	X			8/26/2013 12:13 PM Entered By: Brenda McMullen Safford/Ft. Grant due Oct.2013	2

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Corrective Action Plans for Performance Measure: Chronic Condition and Disease Management (Q)

1 Are treatment plans developed and documented in the medical record by a provider within thirty (30) days of identification that the inmate has a CC? [P-G-01, CC 2.20.2.4]

Level 1 User: Troy Evans Date: 8/22/2013 1:28:53 PM

Corrective Plan: Reviewed all listed charts. All charts listing does not have peak flows do have peaks flows. It is on the Chronic Condition form in the vital section at the end. The other charts where labs were missing, labs have been scheduled. The process at Safford for CC labs is to schedule labs 2 weeks prior to there CC appointment so the provider has them in hand at time of appointment. **Inmate** cc not due until end of September and labs have been scheduled. **Inmate** number could be incorrect, shows up in aims as inactive since 2001. **Inmate** scheduled 8/28/2013 for Gabapentin level.

Ann Rochelle Mullen, RN FHA
Safford Complex, AZ
8/30/2013

Corrective Actions: See above.

1 Are treatment plans developed and documented in the medical record by a provider within thirty (30) days of identification that the inmate has a CC? [P-G-01, CC 2.20.2.4]

Level 1 User: Troy Evans Date: 8/22/2013 1:28:53 PM

Corrective Plan: Reviewed all listed charts. All charts listing does not have peak flows do have peaks flows. It is on the Chronic Condition form in the vital section at the end. The other charts where labs were missing, labs have been scheduled. The process at Safford for CC labs is to schedule labs 2 weeks prior to there CC appointment so the provider has them in hand at time of appointment. **Inmate** cc not due until end of September and labs have been scheduled. **Inmate** number could be incorrect, shows up in aims as inactive since 2001. **Inmate** scheduled 8/28/2013 for Gabapentin level.

Ann Rochelle Mullen, RN FHA
Safford Complex, AZ
8/30/2013

Corrective Actions: See above.

4 Have disease management guidelines been developed and implemented for Chronic Disease or other conditions not classified as CC? [P-G-01, HSTM Chpt. 5, Sec. 5.1, CC 2.20.2.4]

Level 2 Amber User: Brenda McMullen Date: 8/26/2013 12:12:14 PM

Corrective Plan: Reviewed all listed charts. All charts listing does not have peak flows do have peaks flows. It is on the Chronic Condition form in the vital section at the end. The other charts where labs were missing, labs have been scheduled. The process at Safford for CC labs is to schedule labs 2 weeks prior to there CC appointment so the provider has them in hand at time of appointment. **Inmate** cc not due until end of September and labs have been scheduled. **Inmate** number could be incorrect, shows up in aims as inactive since 2001. **Inmate** scheduled 8/28/2013 for Gabapentin level.

Ann Rochelle Mullen, RN FHA
Safford Complex Arizona
8/27/2013

Corrective Actions: Approved per Brenda McMullen.

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Prescribing Practices and Pharmacy (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Are recommendations made by the Pharmacy and Therapeutics Committee appropriately enacted? [CC 2.20.2.6]	X			8/27/2013 8:05 AM Entered By: Martin Winland	2
2	Are pharmacy polices, procedures forms, (including non-formulary requests) being followed? [NCCHC Standard P-D-01, CC 2.20.2.6]	X			8/27/2013 8:05 AM Entered By: Martin Winland	2
3	Are all medications being prescribed in the therapeutic ranges as determined by the most current editions of the "Drug Facts and Comparisons" or the packet insert?	X			8/27/2013 8:05 AM Entered By: Martin Winland	1

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Mental Health (Q)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Are HNRs for Mental Health services triaged within 24 hours of receipt by a qualified Mental Health Professional, to include nursing staff? [CC 2.20.2.10]	X			8/28/2013 3:10 PM Entered By: Troy Evans Tonto-HNR received on 08/27/13 from Inmate # [redacted] Scheduled and seen on 08/28/13. 8/27/2013 1:26 PM Entered By: Troy Evans Mental Health HNR's are triaged upon receipt at both Tonto and Ft. Grant.	2
2	Are inmates referred to a Psychiatrist or Psychiatric Mid-level Provider seen within seven (7) days of referral? [CC 2.20.2.10]	X			8/30/2013 10:22 AM Entered By: Troy Evans Inmate that need to see a Psychiatrist, are transferred to another facility.	2
3	Are MH treatment plans updated every 90 days for each SMI inmate, and at least every 12 months for all other MH-3 and above inmates? [CC 2.20.2.10]	X			8/27/2013 1:33 PM Entered By: Troy Evans N/A there are no SMI pts. on our Complex.	1
4	Are inmates with a mental score of MH-3 and above seen by MH staff according to policy? [CC 2.20.2.10]	X			8/27/2013 1:35 PM Entered By: Troy Evans Upon arrival to either Ft. Grant or Tonto, any inmate with an MH Score of 3 or greater would be evaluated by a Mental Health Provider. If the score was to remain an MH 3 or greater, the inmate would be transferred.	2
5	Are inmates prescribed psychotropic meds seen by a Psychiatrist or Psychiatric Mid-level Provider at a minimum of every three (3) months (90 days)?[CC 2.20.2.10]	X			8/30/2013 10:23 AM Entered By: Troy Evans There are no psychotropic medications prescribed for Mental Health Intervention on this complex.	2
6	Are reentry/discharge plans established no later than 30 days prior release for all inmates with a MH score of MH-3 and above? [CC 2.20.2.10]	X			8/27/2013 1:50 PM Entered By: Troy Evans We have no inmates with an MH Score of MH-3 or above.	2

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Intake (Reception)						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Have Base Line labs been drawn? Alhambra, Perryville, Tucson Minors only. [P-E-04 and HSTM 2.9.2]	X			8/16/2013 11:43 AM Entered By: Troy Evans Ft. Grant- N/A not an intake facility. Tonto- N/A not an Intake Facility	1
2	Has a Pano been completed? Alhambra, Perryville, Tucson Minors only [HSTM 2.9.2.6]	X			8/16/2013 11:43 AM Entered By: Troy Evans Ft. Grant- N/A not an intake facility. Tonto- N/A not an Intake Facility	1
3	Has a PPD been planted and read? Alhambra, Perryville, Tucson Minors only [HSTM 2.9.2.3]	X			8/16/2013 11:44 AM Entered By: Troy Evans Ft. Grant- N/A not an intake facility. Tonto- N/A not an Intake Facility	1
4	Has inmate been given written instructions on how to file a grievance, access health care and health information? Alhambra, Perryville, Tucson Minors only	X			8/16/2013 11:44 AM Entered By: Troy Evans Ft. Grant- N/A not an intake facility. Tonto- N/A not an Intake Facility	1
5	Has a PAP been completed (Female)Perryville? [HSTM 2.9.3.3]	X			8/16/2013 11:44 AM Entered By: Troy Evans Ft. Grant- N/A not an intake facility. Tonto- N/A not an Intake Facility	1
6	Are all inmates having their needs communicated from the sending facility to the receiving facility by a Continuity of Care form and verbally at the time of transfer? [HSTM 2.9.6.1]	X			8/16/2013 11:44 AM Entered By: Troy Evans Ft. Grant- N/A not an intake facility. Tonto- N/A not an Intake Facility	1
7	Are dental emergencies being addressed at the reception center? Alhambra, Perryville, Tucson Minors only	X			8/16/2013 11:45 AM Entered By: Troy Evans Ft. Grant- N/A not an intake facility. Tonto- N/A not an Intake Facility	1
8	Are inmate prescribed medications transferred with a 45 day supply? Alhambra, Perryville, Tucson Minors only [HSTM Chapter 5, Section 6.1 and CC 2.12.22]	X			8/16/2013 11:45 AM Entered By: Troy Evans Ft. Grant- N/A not an intake facility. Tonto- N/A not an Intake Facility	1
9	Are inmates on medications prior to being placed under ADC custody continued on the medication or a therapeutic substitute? Alhambra, Perryville, Tucson Minors only [P-D-02, HSTM Chapter 5, Setion 2.0.4.2]	X			8/16/2013 11:46 AM Entered By: Troy Evans Ft. Grant- N/A not an intake facility. Tonto- N/A not an Intake Facility	1

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Grievances						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Is a grievance tracking system in place and being utilized? [P-A-11; P-A-04]	X			8/16/2013 11:47 AM Entered By: Troy Evans Tonto/ Ft. Grant- There is a system in place and it is being utilized.	1
2	Are grievance trends being tracked and addressed? [P-A-11; P-A-04]	X			8/26/2013 3:00 PM Entered By: Troy Evans Tonto- Inmate # inmate submitted a grievance on 08/20/13. He is scheduled to meet with the FHA on Thursday 08/29/13 8/16/2013 11:49 AM Entered By: Troy Evans There have been no formal grievances this month. They are addressed at the time they are received by the FHA at Corizon.	1

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5	Are medication errors forwarded to the FHA to review corrective action plan?	X			<p>8/29/2013 10:03 AM Entered By: Brenda McMullen yes</p> <p>8/30/2013 10:19 AM Entered By: Troy Evans</p>	2
6	Are there any unreasonable delays in inmate receiving prescribed medications?	X			<p>8/29/2013 10:04 AM Entered By: Brenda McMullen None found at this time</p> <p>8/30/2013 10:19 AM Entered By: Troy Evans</p>	2
7	Are inmates being required to show ID prior to being administered their medications?	X			<p>8/29/2013 10:05 AM Entered By: Brenda McMullen Yes</p> <p>8/30/2013 10:20 AM Entered By: Troy Evans</p>	2
8	Are chronic condition medication expiration dates being reviewed prior to expiration to ensure continuity of care? [NCCHC Standard P-D-01]	X			<p>8/29/2013 10:20 AM Entered By: Brenda McMullen Ft. Grant #Inmate Potassium exp. 8/26/13 #Inmate Albuterol HFA exp 8/28/13, Proventil 8/28/13 #Inmate Ventolin inhaler 8/26/13 Safford #Inmate Enalapril exp 8/27/13 #Inmate ASA 81mg, Atenolol, Enalapril, Prazosin exp 8/20/13 #Inmate propranolol exp 8/24/13</p> <p>8/30/2013 10:20 AM Entered By: Troy Evans</p>	2
9	Are non-formulary requests being reviewed for approval or disapproval within 24 to 48 hours?	X			<p>8/29/2013 10:21 AM Entered By: Brenda McMullen Providers are being notified of diapproved medications.</p> <p>8/30/2013 10:20 AM Entered By: Troy Evans</p>	2

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10	Are providers being notified of non-formulary decisions within 24 to 48 hours?	X			8/29/2013 10:21 AM Entered By: Brenda McMullen yes	2
					8/30/2013 10:20 AM Entered By: Troy Evans	
11	Are medication error reports being completed and medication errors documented?	X			8/29/2013 10:23 AM Entered By: Brenda McMullen Yes	2
					8/30/2013 10:20 AM Entered By: Troy Evans	

Corrective Action Plans for PerformanceMeasure: Medication Administration

4 Are the Medication Administration Records (MAR) being completed in accordance with standard nursing practices? [HSTM Chapter 4, Section 1.1, Chapter 5, Section 6.4]
Level 1 Amber User: Troy Evans Date: 8/30/2013 10:18:42 AM

Corrective Plan: The nursing Supervisor at Tonto is reviewing the MARS this week for the errors. When we find the errors it will be addressed with the nurse making the errors. Will advise Ft. Grant Nursing Supervisor to do the same starting next week. DON will follow-up on this next week.

Ann Rochelle Mullen, RN FHA
 Safford Complex, AZ
 08/30/2013

Corrective Actions: See above.

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Infirmiry Care						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Does policy or post order define the specific scope of medical, psychiatric, and nursing care provided in the infirmiry setting?	X			8/21/2013 9:43 AM Entered By: Vanessa Headstream Not applicable at this facility	1
2	Are patients always within sight or hearing of a qualified health care professional (do inmates have a method of calling the nurse?)	X			8/21/2013 9:43 AM Entered By: Vanessa Headstream Not applicable at this facility	1
3	Is the number of appropriate and sufficient qualified health professionals in the infirmiry determined by the number of patients, severity of illnesses and level of care required?	X			8/21/2013 9:43 AM Entered By: Vanessa Headstream Not applicable at this facility	1
4	Is a supervising registered nurse in the IPC 24 hours a day?	X			8/21/2013 9:44 AM Entered By: Vanessa Headstream Not applicable at this facility	1
5	Is the manual of nursing care procedures consistent with the state's nurse practice act and licensing requirements?	X			8/21/2013 9:44 AM Entered By: Vanessa Headstream Not applicable at this facility	1
6	Does admission to or discharge from infirmiry care occur only on the order of physician or other provider where permitted by virtue of credentials and scope of practice?	X			8/21/2013 9:44 AM Entered By: Vanessa Headstream Not applicable at this facility	1
7	Is the frequency of physician and nursing rounds in the infirmiry specified based on categories of care provided?	X			8/21/2013 9:44 AM Entered By: Vanessa Headstream Not applicable at this facility	1
8	Is a complete inmate health record kept and include: -Admitted order (admitting diagnosis, medications, diet, activity restrictions, required diagnostic tests, frequency of monitoring and follow-up -Complete document of care and treatment given -Medication administration record -Discharge plan and discharge notes	X			8/21/2013 9:44 AM Entered By: Vanessa Headstream Not applicable at this facility	1
9	If inpatient record is different than outpatient record, is a copy of the discharge summary from the infirmiry care placed in the patient's outpatient chart?	X			8/21/2013 9:44 AM Entered By: Vanessa Headstream Not applicable at this facility	1
10	If an observation patient is placed by a qualified health care professional for longer than 24 hours, is this order being done only by a physician?	X			8/21/2013 9:44 AM Entered By: Vanessa Headstream Not applicable at this facility	1
11	Are vital signs done daily when required?	X			8/21/2013 9:44 AM Entered By: Vanessa Headstream	1

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					Not applicable at this facility	
12	Are there nursing care plans that are reviewed weekly and are signed and dated?	X			8/21/2013 9:45 AM Entered By: Vanessa Headstream Not applicable at this facility	1
13	Are medications and supplies checked regularly, and who is assigned to do it? [NCCHC Standard P-D-03]	X			8/21/2013 9:45 AM Entered By: Vanessa Headstream Not applicable at this facility	1

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Return to Custody						
	Performance Measure (Description)	Grn	Amb	Red	Notifications	Level
1	Is a physical examination completed by a medical provider as part of the RTC intake process??	X			<p>8/20/2013 8:24 AM Entered By: Troy Evans Tonto- Additional Charts reviewed. #Inmate #Inmate #Inmate These charts had physicals completed as well.</p> <p>8/19/2013 2:02 PM Entered By: Troy Evans</p> <p>8/19/2013 2:01 PM Entered By: Troy Evans There were 10 charts reviewed at Tonto. #Inmate #Inmate #Inmate #Inmate #Inmate #Inmate #Inmate #Inmate All had s Physical performed in their chart.</p> <p>8/16/2013 11:53 AM Entered By: Troy Evans Ft. Grant- there were five Violator Charts that were reviewed. All five had Physical Exams completed. Compliant</p>	1
2	Is a mental health assessment completed by a mental health practitioner as part of the RTC intake process?	X			<p>8/20/2013 8:27 AM Entered By: Troy Evans Tonto- The bank of charts reviewed, #Inmate #Inmate #Inmate #Inmate #Inmate #Inmate #Inmate #Inmate All had a Mental Health Assesment Completed. Compliant.</p> <p>8/16/2013 11:56 AM Entered By: Troy Evans Ft. Grant- Five Violator Charts were reviewed. #Inmate #Inmate #Inmate #Inmate #Inmate All five Violator Charts had Mental Health Assesments completed. Compliant</p>	1
3	Have Base Line labs been drawn?	X			<p>8/20/2013 8:32 AM Entered By: Troy Evans Tonto- #Inmate did not have his baseline labs drawn until 06/05/13. This inmate was a return to custody in February of 2013. This was the only delay noted in baseline labs. Compliant</p> <p>8/16/2013 11:58 AM Entered By: Troy Evans Ft. Grant- The same bank of charts showed all but #Inmate had labs drawn. Asked staff about #Inmate labs and they were pulled off the computer and placed in chart. Compliant</p>	1
4	Has a PPD been planted and read?		X		<p>8/20/2013 8:35 AM Entered By: Troy Evans Tonto- Inmate #Inmate had a PPD planted on 07/10/2013. It was never read. This inmate was never rescheduled for a repeat PPD Give. Inmate #Inmate has no record of ever receiving a PPD since returning to custody.</p> <p>8/16/2013 12:00 PM Entered By: Troy Evans Ft. Grant- 4 inmates had a PPD planted and read. #Inmate was scheduled for 08/16 PPD G ve. Compliant</p>	1

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5	Has a PAP been completed (Female)?	X			8/20/2013 8:36 AM Entered By: Troy Evans Ft. Grant- N/A Tonto- N/A	1
6	Is a continuity of care completed prior to transfer to permanent unit?		X		8/20/2013 8:41 AM Entered By: Troy Evans Ft. Grant- #Inmate COC from Alhambra was blank. #Inmate No information on COC #Inmate No COC #Inmate No COC #Inmate No COC #Inmate No COC from Cimarron #Inmate No COC	1
7	Are dental emergencies being addressed at the reception center?	X			8/20/2013 10:12 AM Entered By: Troy Evans Tonto-Inmate #Inmate had Dental work performed during his Intake Evaluation Exam on 06/04/2013. 8/20/2013 8:46 AM Entered By: Troy Evans Tonto- Inmate #Inmate had Dental Work performed upon his intake eval. on 06/07/2013.	1
8	Are inmates seen the day of arrival?		X		8/20/2013 10:44 AM Entered By: Troy Evans While compliant with being seen on the day of arrival, inmate #Inmate had orders in his COC that were never completed on his day of arrival. Fasting CMP-18, HIV, HEP AB Panel, were never drawn. This Inmate also had a Wasting Syndrome diet order, with weight checks ordered on his COC. I found a discrepant wight note, and the RN discontinued his wasting syndrome diet on 02/25/2013, as well as any further weight checks. There was no Provider order to DC the weight checks or the diet noted in the chart. This inmate also was dx with Hep C in 1996. There was no mention of Hep C chronic care, and none has been done to date. Inmate #Inmate also has several orders never completed. The Medical Records from a previous MRI at Anthem Hospital were ordered by the physician, noted as sent to Medical Records Secretary, but no further mention of any attempt to secure these records was noted in chart. A CMP with Lipid Profile was also ordered, as well as an EKG. Neither of these were ever performed. 8/20/2013 10:14 AM Entered By: Troy Evans Tonto- 9 charts were reviewed. Compliant with this measure.	1
9	Are inmates on medications prior to being placed under ADC custody continued on the		X		8/20/2013 10:17 AM Entered By: Troy Evans	1

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medication or a therapeutic substitute?		Ft. Grant- Inmate #Inmate arrived with no medication. Tonto- Inmate #Inmate arrived with no medication. Total # of Violator Charts reviewed was 13.	
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Corrective Action Plans for Performance Measure: Return to Custody

4 Has a PPD been planted and read?

Level 1 Amber User: Troy Evans Date: 8/20/2013 8:34:56 AM

Corrective Plan: Inmate Inmate had a PPD planted and he read was never read or not documented. He was scheduled for a redo by this writer.

Ann Rochelle Mullen, RN FHA
 Safford Complex, AZ.
 8/23/2013

Corrective Actions: Approved per Troy Evans.

6 Is a continuity of care completed prior to transfer to permanent unit?

Level 1 Amber User: Troy Evans Date: 8/20/2013 8:41:10 AM

Corrective Plan: All the mentioned Continuity of cares about were from different facilities.

Ann Rochelle Mullen, RN FHA
 Safford Complex, AZ
 8/23/2013

Corrective Actions: Approved by Troy Evans.

8 Are inmates seen the day of arrival?

Level 1 Amber User: Troy Evans Date: 8/20/2013 10:43:31 AM

Corrective Plan: All the above charts have been reviewed. The inmate that was on the weight checks for enhanced diet was added back to nursing line and put to provider to review diet orders. All the issues found in the charts above have been address and fixed. Thorough review of incoming charts will be on the next CQI meeting for 8/4/2013.

Ann Rochelle Mullen, RN FHA
 Safford Complex Arizona
 8/27/2013

Corrective Actions: Approved by Troy Evans.

9 Are inmates on medications prior to being placed under ADC custody continued on the medication or a therapeutic substitute?

Level 1 Amber User: Troy Evans Date: 8/20/2013 10:17:10 AM

Corrective Plan: Tonto inmate #Inmate arrived to Tonto Unit as Violator. Inmate arrived on 6/3/2013. Inmate with CC Hypertension and had not had his medication for 8 days. He was seen by nursing same day 6/3/2013 and provider notified for orders. Orders for hypertension medication given. Medication given to inmate from the RDSA issued DOT on 6/3/2013 until KOP medication arrived. KOP medication received 6/5/2013. Ft. Grant inmate arrived on 7/25/2013 as violator with no information. Seen by provider on 7/26/2013 and ordered CC asthma medication issued 7/26/2013. All intakes including violators are seen same day and evaluated for all medical needs. If they do not have their medication with them, orders are obtained by the provider and issued through the RDSA DOT until their KOP's arrive.

Ann Rochelle Mullen, RN FHA
 Safford Complex Arizona
 8/27/2013

Corrective Actions: See above.